



REGISTERED INSURANCE BROKERS OF ONTARIO

CLIENT CONSENT FOR NAME REFERRAL

A broker may have the opportunity to refer a client to a business associate and in return secure a small remuneration and/or referral fee.

At all times, brokers must follow the RIBO Code of Conduct; in this case, Ontario Regulation 991, s. 14(5):

“5. A member shall hold in strict confidence all information acquired in the course of the professional relationship concerning the business and affairs of the member’s client, and the member shall not divulge any such information unless authorized by the client to do so, required by law to do so or required to do so in conducting negotiations with underwriters or insurers on behalf of the client.”

By giving your consent, your name, address and telephone number can be passed on to the Financial Services Advisor or Business Associate listed below. They may then contact you to discuss their services.

I/We give consent to having my/our name, address and/or telephone number being used as a referral.

_____ Signature of Client	_____ Print Name	_____ Date
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