



'Celebrating 40 Years of Self-Regulation'

RIBO REVIEW

"The RIBO News You Need To Know"



A MESSAGE FROM RIBO PRESIDENT, HUGH FARDY

RIBO President, Hugh Fardy provides an update on how RIBO is advancing its work on the digitization of its processes. [\[Read Here\]](#)

PERSONAL SKILLS - UPDATES TO CONTINUING EDUCATION REQUIREMENTS

As of **April 1, 2022**, Continuing Education (CE) courses in the Personal Skills Category are deemed to fall under the newly titled category of Professional Development. Advertising or self-promotion courses will **not** be approved. For more information [\[Click Here\]](#)

RECEIVING COMMISSIONS: REGISTERING A NON- ACTIVE FIRM WITH RIBO

If they are being paid commissions indirectly through a personal corporation, RIBO licensees are required to register the corporation as a non-active member firm with RIBO. The non-active member firm's name **must not** be advertised on any signage, letterhead, business card, website, or any other form to the public. [\[Read More\]](#)

ADVERTISING TO THE PUBLIC

RIBO licensees are reminded that it is important to have a process in place to review their advertisements before releasing them to the public. The RIBO Code of Conduct places obligations on licensees to meet certain standards for their advertising. If you have any concerns about your marketing plans you can submit them to RIBO in writing for review. [\[Read More\]](#)

PRINCIPAL'S OFFICE

NEW E&O/FIDELITY BOND SUBMISSIONS NOW AVAILABLE ON THE PORTAL

A popular request is now available online! You can now submit your current E&O/Fidelity insurance certificates directly to RIBO for processing. Just select your Firm after signing [\[here\]](#), and make your way over to the Request tab. There, you will see a new option to enter & upload copies of your insurance certificates. **Please note**, for more than one entry you can submit only one request at a time for processing, and when the first request is closed, you can submit the next. Open & Closed statuses can be tracked from the Request tab.

EXTENDED: UPDATES TO THE PRINCIPAL BROKER HANDBOOK

To ensure the Principal Broker Handbook remains an effective compliance reference, RIBO has published draft updates to the Handbook with additional sections on remote work, cyber security, social media, working with MGAs and annual renewal procedures. To ensure the Handbook remains relevant for today's brokerages, RIBO is posting the [draft updates online](#) for consultation. **We have extended the timeline for your comments, and they are welcome at communications@ribo.com. Please provide any comments by April 30, 2022.**

BACK BY POPULAR DEMAND!

Join us for another virtual Principal Broker Town Hall meeting on **Tuesday, April 12th, 2022, at 10:00 a.m. (EDT)**. In this session, we will be focusing

IMPORTANT REMINDERS!

BULK PAYMENT OPT OUT

Each year brokerages are offered the option during renewal season to be added to the bulk payment for the firm. If you are currently on the bulk payment and no longer require the Bulk Payment option this year for your brokerage, please email support@ribo.com with the Subject Line "**Bulk Opt Out**" with the name & license number of your firm(s), and we will remove the option for your brokerage.

BROKERAGE INFORMATION UP-TO-DATE?

A friendly reminder to check your brokerage information regularly to ensure it is up to date and current. Please submit changes and updates to RIBO for processing by emailing support@ribo.com. Online brokerage update submissions will be available through the new Request tab for your firm in the near future.

PERSONAL INFORMATION UP-TO-DATE?

If there are any changes to your personal information, i.e., email address, phone number, mailing address etc., you are reminded to login to the [member portal](#) and make the required updates so your information remains current.



We're Hiring an Investigator!

Visit our careers page to learn more about this and other future opportunities. [[Learn More](#)]

You may also be interested in:

CONTINUING EDUCATION COURSES: For a list of recently approved CE courses, [[Click Here](#)]

DECISIONS OF THE DISCIPLINE COMMITTEE: For a list of current Decisions of the Discipline Committee, [[Click Here](#)]

ADDITIONS AND DELETIONS: For a list of Additions and Deletions to the RIBO Register, [[Click Here](#)]

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