

NAME OF DEPUTY PRINCIPAL BROKER: _____

REGISTRATION # OF DEPUTY PRINCIPAL BROKER: _____

FIRM: _____

REGISTRATION #: _____

UNDERTAKING

I, _____, the Deputy Principal Broker of _____

_____ understand my responsibilities as

Deputy Principal Broker set out in the RIB Act, Regulations and By-laws, and that I may be held personally responsible for improper acts of the firm and/or any individual broker under my supervision.

I certify that I am assuming the role and responsibilities of the Deputy Principal Broker and further confirm that I am a Director and/or Officer of the corporate entity (per Regulation 991, Section 7.2(2)(e)).

I certify that I have received and reviewed both the Principal Broker and Code of Conduct Handbooks and that I will remain current with any amendments.

Signature of Deputy Principal Broker

Date