



*'Celebrating Over 40 Years of Self-Regulation'*

## RIBO REVIEW

"The RIBO News You Need to Know"

Introducing **RIBO** Council Leadership for 2023/24 



**Alyssa Keyes**

VP / CHAIR, LICENSING  
& STANDARDS COMMITTEE



**Melissa Bajwa**

VP / CHAIR, PROFESSIONAL CONDUCT  
COMMITTEE



**Richard Orr**

PRESIDENT



**Brent Stefan**

VP / CHAIR, DISCIPLINE  
COMMITTEE



**Thomas Watson**

VP / CHAIR, QUALIFICATIONS & REGISTRATION  
COMMITTEE

## Directors at Large



Becki Carlson



Jo-Anne Raymond



Bryan Davies



Christina Lee-Rotstein



Hugh Fardy



Jordan Switzer



Frances Kordyback



Karol Pawlina

Congratulations to our newly elected and re-elected Council members [Hugh Fardy](#), [Rick Orr](#) and [Thomas Watson](#).



### OUR RIBO TEAM THANKS YOU!

On behalf of the entire RIBO Team we would like to thank outgoing Council member, Rose Cavaliere, for her six years of dedication and support on RIBO Council. We would also like to thank all of you who took time to vote in our 2023 Election and to those who participated on-line and in-person during our 42nd Annual General Meeting on November 9th.



### CODE OF CONDUCT SPOTLIGHT: TIED SELLING

As a broker, you have an obligation to ensure that you do not assist in or engage in the practices of "tied selling" when providing automobile insurance to a perspective client. By fulfilling this obligation you make certain that you are in compliance with the UDAP Rule established by the Financial Services Regulatory Authority under the *Insurance Act*. To learn more, and

make sure you are in compliance, [see page 16 of the Code of Conduct](#).



## NEW COMPLAINTS AND DISCIPLINE PROCESS COMING

At RIBO, we are continuously working to identify ways to improve effectiveness, efficiency, and transparency, in accordance with our strategic plan. To that end, we have been reviewing and assessing our current Complaints and Discipline Committee processes and have identified opportunities for enhancements.

Starting in early 2024, we will be implementing a number of changes including new Committee Rules of Procedure.

Look for more information being released on LinkedIn and the RIBO website in the coming weeks.



## CYBER SECURITY SELF-ASSESSMENT

As brokers are increasingly discussing cyber coverage options with their clients, we encourage you to also consider your own cyber security practices, and how you are keeping your customers information safe and secure. For additional information read RIBO's new [Cyber Supplementary guidance](#), included as part of the updated Principal Broker handbook, as well as a new [Cyber Security Readiness Assessment](#) tool issued by CISRO. Early in the new year, RIBO will commence a stakeholder consultation on the advisability of mandating cyber coverage for all RIBO business firm licensees.

## ONLINE BROKER SERVICES

**Note:** The process for some common services have changed and/or are impacted by our system transition.

### If you would like to:

**Update your E&O and Fidelity Coverage:** Click the link [here](#)

**File a Position Report:** Click the link [here](#)

**New Registration Applications:** Individuals can continue to apply [online](#).

**New Firm Applications:** Firms can now apply for a new business registration [online](#).

**Reinstatement Applications:** Individuals can continue to email [licensing@ribo.com](mailto:licensing@ribo.com) about eligibility requirements for reinstatements and required fees.

**Designated Individual and Employment Changes:** this process remains unchanged and is available on the [RIBO website](#).

**Staff lists and License Restriction levels: TEMPORARY PROCESS CHANGE –** should you need an up-to-date list of employees at your firm email [support@ribo.com](mailto:support@ribo.com).

## How to find:

**Continuing Education Courses:** for a list of recently approved CE courses, [[Click Here](#)]

**Decisions of the Discipline Committee:** for a list of current Decisions of the Discipline Committee, [[Click Here](#)]

**Additions and Deletions:** for a list of Additions and Deletions to the RIBO Register, [[Click Here](#)]

Contact Us

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