

*'Celebrating Over 40 Years of Self-Regulation'*

## RIBO REVIEW

"The RIBO News You Need to Know"



### Election - Polls now open

**Monday, November 6th, 2023** is Election day. The polls are now open. Every member in good standing is entitled to [vote for up to three candidates](#). All members were sent an email in early October with voting instructions. All ballots must be filed with RIBO by **4:00 p.m. EST on Monday, November 6th, 2023**.



### Code of Conduct Spotlight: Advising Clients

As a broker, you have an obligation to ensure that your recommendations to clients be candid, honest, complete, open and clear. Fulfilling this obligation includes sufficiently understanding your client's risk exposure, and informing them of the facts and assumptions upon which your recommendations are based. To learn more, and make sure you are in compliance, [see page 15 of the Code of Conduct](#).



### Services by Certain Insurance Intermediaries

The Canada Revenue Agency has released a Notice for comment regarding the application of GST/HST to supplies made to an insurer, or to another person or company that has an agreement with an insurer including any delegated underwriting agreements. You may wish to [review the Notice](#) to determine if it applies to your organization. The deadline to comment on this GST/HST Notice is **October 31, 2023**.



### Hybrid Annual General Meeting

RIBO's 42nd AGM will be a hybrid meeting, conducted in-person and online via Microsoft Teams on **November 9th, 2023 at 4:30 p.m. EST**. If you will be attending the online session, please preregister [here](#) to ensure access to the meeting.

### Online Broker Services

Note: The process for some common services have changed and/or are impacted by our system transition.

#### If you would like to:

**Update your E&O and Fidelity Coverage:** Click the link [here](#)

**File a Position Report:** Click the link [here](#)

**New Registration Applications:** Individuals can continue to apply [online](#).

**New Firm Applications:** Firms can now apply for a new business registration [online](#).

**Reinstatement Applications:** Individuals can continue to email [licensing@ribo.com](mailto:licensing@ribo.com) about eligibility requirements for reinstatements and required fees.

**Designated Individual and Employment Changes:** this process remains unchanged and is available on the [RIBO website](#).

**Staff lists and License Restriction levels: TEMPORARY PROCESS CHANGE** – should you need an up-to-date list of employees at your firm email [support@ribo.com](mailto:support@ribo.com).

## How to find:

**Continuing Education Courses:** for a list of recently approved CE courses, [[Click Here](#)]

**Decisions of the Discipline Committee:** for a list of current Decisions of the Discipline Committee, [[Click Here](#)]

**Additions and Deletions:** for a list of Additions and Deletions to the RIBO Register, [[Click Here](#)]

Contact Us

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